IEP Process & Procedures

From Referral to IEP

Donna Day, Special Education Coordinator

<u>Donna Day@csi.state.co.us</u>





Contents

Referrals to Special Education	2
Team Determines Evaluation for Special Education is Warranted	3
Team Determines that an Evaluation is Not Warranted	5
Evaluation Report	7
Eligibility Determination Meeting	9
Prior Written Notice and Consent for the Initial Provision of Special Education and Related Services	12
Prior Written Notice of Special Education Action	13
IEP Process and Forms	14
IEP Development	16



Referrals to Special Education

Procedure and Required Documents	Required Information	Comments
Evaluation team reviews the MTSS/RTI	Clear identification of concern and goal(s) that were addressed.	
information to ensure that all required	Gap Analysis	
information is included.	Intervention(s) used, date(s) and length of interventions	
Referral for Special Education Assessment	Outcomes of interventions	
Multi-disciplinary Team determines if there is sufficient evidence that warrants a special education evaluation. Procedural Safeguards Prior Written Notice of Action, or	If insufficient evidence, the team will inform the MTSS team that there will not be a special education evaluation completed and RTI should be continued. If parents have requested evaluation, a Prior Written Notice of Action will be developed to inform parents that there is insufficient data to warrant an evaluation. Parental Safeguards will be sent home.	This is a case-by- case decision. In most cases, if a parent requests an evaluation, the team would conduct an evaluation. If a team is going to deny evaluation, there must be clear, documented evidence that it is not warranted.
and Consent for Evaluation	If there is sufficient evidence that the child may be a child with a disability, the team will develop a Prior Written Notice and Consent for Evaluation form to request permission to evaluate from the Parent. Parental Safeguards will be sent home.	



Team Determines Evaluation for Special Education is Warranted

Procedure and	Required Information	Comments
Required		
Prior Written Notice and Consent for Special Education Evaluation		
Name of the parent/guardian	If parents are divorced and both have educational rights, send permission form to both parties.	If there is a disagreement between parents and both parents have educational rights, the school will need to consult with their attorney ad involve their CSI coordinator for mediation.
Type of evaluation:	Check which applies:	A reevaluation is any evaluation that is not an initial. Reevaluations must be completed for:
Areas to be evaluated based upon suspected disability (select appropriate areas):	Select from the following areas	Functional and academic areas must be assessed The evaluation must be comprehensive and address all areas of concern.



İ	\ P	
	Vision	
	• Motor	
	Sensory Motor	
Reason for	Include a summary of the reasons the	
evaluation request	team is requesting the evaluation.	
The tests, records,	Information used to decide that an	
and reports that the	assessment should be completed.	
proposal was based	Parent/teacher interviews	
on.	Intervention data	
	 Observations 	
	 Academic achievement data 	
	 Classroom observations 	
	 Review of assessment data 	
	 Information from private 	
	clinic/medical doctor	
	 Formal/informal assessments 	
	Transfer IEP	
Other options	May include:	
considered and	 Continue MTSS/RTI 	
reason why they	 Assess for a 504 	
were rejected.	Not conducting an assessment	
Any other factors	Include information that the evaluation	Possible considerations:
considered	team needs to know to conduct a	 Medications
		MedicationsHealth issues
	team needs to know to conduct a	MedicationsHealth issuesAttention issues
	team needs to know to conduct a	MedicationsHealth issuesAttention issuesLanguage difficulties
	team needs to know to conduct a	 Medications Health issues Attention issues Language difficulties English Language
considered	team needs to know to conduct a	MedicationsHealth issuesAttention issuesLanguage difficulties
considered Include the name and	team needs to know to conduct a	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the	team needs to know to conduct a	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager	team needs to know to conduct a valid and complete evaluation.	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home	team needs to know to conduct a valid and complete evaluation. Prior Written Notice and Consent for	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for	team needs to know to conduct a valid and complete evaluation. Prior Written Notice and Consent for Evaluation	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home	team needs to know to conduct a valid and complete evaluation. Prior Written Notice and Consent for Evaluation Procedural Safeguards	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures	team needs to know to conduct a valid and complete evaluation. Prior Written Notice and Consent for Evaluation	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures Document date the	Prior Written Notice and Consent for Evaluation Procedural Safeguards Ensure that the appropriate boxes are	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures Document date the signed receipt of	Prior Written Notice and Consent for Evaluation Procedural Safeguards Ensure that the appropriate boxes are	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures Document date the signed receipt of Prior Written Notice	Prior Written Notice and Consent for Evaluation Procedural Safeguards Ensure that the appropriate boxes are	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures Document date the signed receipt of Prior Written Notice and Consent for	Prior Written Notice and Consent for Evaluation Procedural Safeguards Ensure that the appropriate boxes are	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures Document date the signed receipt of Prior Written Notice and Consent for Evaluation is returned. Complete Evaluation	Prior Written Notice and Consent for Evaluation Procedural Safeguards Ensure that the appropriate boxes are checked Within 60 days of receipt of signed	 Medications Health issues Attention issues Language difficulties English Language
Include the name and phone number of the case manager Send home documents for signatures Document date the signed receipt of Prior Written Notice and Consent for Evaluation is returned.	Prior Written Notice and Consent for Evaluation Procedural Safeguards Ensure that the appropriate boxes are checked	 Medications Health issues Attention issues Language difficulties English Language



Team Determines that an Evaluation is Not Warranted

Procedure and Required Documents	Required information	Comments
Prior Written Notice of Action		
Description of the Action Proposed	This is a refusal to evaluate for special education eligibility.	
Explanation of why the school refuses to evaluate	Include a summary of why the team determined that an evaluation was not appropriate.	The child is making adequate progress in the general education curriculum and does not require specialized supports and services through special education. The student is making adequate progress through RTI Tiered Supports and is closing the achievement gap without specialized supports and services through special education.
Description of any other options the IEP team considered and the reasons for the rejection of these options	List the options the team discussed when making the determination and why they were rejected.	
Description of the evaluation procedures, assessments, records, or reports used as a basis for the refusal.	Information the team used to determine that as evaluation was not appropriate at this time.	Examples might be: MTSS/RTI records Progress notices Review of academic achievement Formal and informal assessments Teacher reports Review of medical or clinical evaluations



Description of any other factors that are relevant to the refusal.	Some examples might be:	
Statement that the parents have received a copy of the Procedural Safeguards		
Sources for the parent to contact.	Name and phone number of the Multidisciplinary team chairperson	



Evaluation Report

Procedure and Required Documents	Required Information	Comments
Completed within 60 calendar days of signed Prior Written Notice and Consent for Permission to Evaluate		The date the evaluation was completed must be clearly noted in the evaluation section of the IEP.
Types of assessments should be based upon the suspected disability		
Assessments	 Student's full name Date of Birth Grade Level SASID number (assigned by the state) Date of Evaluation School of Residence (Home school of student) District of Residence (Home district of student) School of Attendance (Name of Charter School) District or AU of Attendance (Colorado Charter School Institute) IEP Date Date of next annual review -1 calendar year from the date of this IEP Date of Reevaluation – 3 calendar years from the date of this evaluation List all the tools by name and/or 	
7.000011101110	strategies used to assess the student. Names and titles of the individuals conducting each assessment. Date of completion of each assessment. Date of completion of all assessments.	
Body of Evaluation Report	Must include: • Reason for evaluation	



Following the review	 If an initial – results of MTSS/RTI interventions If a reevaluation – information about the initial identification Family/Developmental history Health history Vision and hearing screening Educational history Discussion of each evaluation including results reported using percentile ranks and/or standard scores along with summary Classroom observations and if required due to suspected disability, observations in non-academic areas Classroom teacher reports including current academic performance Parent/teacher/Student interviews Attendance and Behavior information Strengths of student If reevaluation, previous goals, and progress toward those goals. Complete appropriate Eligibility 	
of the Evaluation Report, the team will determine which eligibility area(s) will be considered.	Determination form.	



Eligibility Determination Meeting

Procedures and Required Documents	Required Information	Comments
Notice of Meeting	Sent 10 days prior to the meeting to review Evaluation Report and Eligibility Determination.	
Meeting Participants	 General Education Teacher Special Education teacher or Speech Language Pathologist if the child is only receiving speech Individual who can interpret results of the evaluation(s) Special Education Director or designee Student if 15 or older Bilingual specialist for students who are ELs Related Service Providers when services are considered Outside Agencies 	Participants in the meeting must match those identified on the Notice of Meeting If the school would like for any outside agencies to attend the meeting they must listed on the Notice of Meeting. Parents must agree in writing for them to attend.
Determination of Eligibility	 Review and consider all assessment data, including any reports from independent evaluators. Consider the strengths and needs of the student. Use the results from more than one source of data. Ensure the determination is not based on the student's lack of instruction in reading or math or because of limited English proficiency. 	



13 Identifiable Areas of Disability	Autism Spectrum Disorder Deaf-Blindness Developmental Delay Hearing Impairment, Including Deafness Intellectual Disability Multiple Disabilities Orthopedic Impairment Other Health Impaired Serious Emotional Disability Specific Learning Disability Speech or Language Impairment Traumatic Brain Injury Visual Impairment, Including Blindness	
Definition	Each form has a definition of the disability.	
Check verifying statements	 Was the evaluation comprehensive enough to determine special ed. and related services and contain enough information to identify a disability? Can the student receive benefit in general education without special education services? Is the student's lack of performance due to a lack of instruction in reading or math? Is the lack of performance due to limited English? 	
Criteria	Check whether the child meets the criteria for the disability selected.	
Check all areas where the team determined there was evidence of the disability. Determine if the child		
meets all the criteria and is eligible for determination of the disability.		
Multidisciplinary Team Members	List the names and titles of all members of the team.	If identified as a Specific Learning Disability all members must initial the form and agree or



		disagree with the eligibility determination.
Additional Factors to Consider	 Only children ages three through eight where there cannot be a determination in another category may be considered as a child with a Developmental Delay. A child identified with an Intellectual Disability must meet all three indicators. To identify a child with Multiple Disabilities, you must complete the eligibility forms for each disability. For Specific Learning Disability, you must attach a dissenting opinion to the IEP is a member of the team disagrees with the decision. 	
Evaluation Report Determination of Eligibility	Completed forms will be provided to the parent at the end of the meeting	
Prior Written Notice & Consent for the Initial Provision of Special Education and Related Services	Completed and signature required prior to the development of the IEP, if the student qualifies for special education and related services	
Prior Written Notice of Special Education Action	Completed and provided to the parent if the student does not qualify for special education and related services.	



Prior Written Notice and Consent for the Initial Provision of Special Education and Related Services

Procedures and Required Documents	Required Information	Additional Comments
Prior Written Notice and Consent for the Initial Provision of Special Education and Related Services	Complete upon completion of an Initial Eligibility Meeting if the student qualifies.	May also need to complete this form if the student enrolls in your school and the previous district did not complete the form.
Notice that the child was determined to be eligible for services.		
Information to the parent as to why special education services are recommended. List the evaluation	Child met the eligibility requirements as a child with a disability as defined by IDEA and ECEA and required specialized services and supports to make progress in the general education curriculum. May include:	Do not indicate the formal
procedures, tests, records, and reports used to make the recommendation.	 Formal/informal assessments Classroom observations Parent/teacher/student interviews Review of educational records Review of outside evaluation Review of medical records, Etc. 	names of assessments in this area, just the types of assessments the team reviewed.
Other service options the team considered and the reason they were rejected.	The team may have considered and rejected:	Be sure to tell why you rejected other options.



Prior Written Notice of Special Education Action

Procedures and Required Documents	Required Information	Additional Comments
If a student does not qualify for an IEP, the team will complete a Prior Written Notice of Special Education Action to inform the parents. Define the Action that led to the determination.	This is a refusal to initiate identification of your child as a child with a disability as defined by IDEA and ECEA. He/she will not be eligible for a Free Appropriate Public Education in the Least Restrictive Environment. Upon completion of the special education evaluation and review of eligibility criteria, the IEP team finds that (student) does not meet the criteria for a student with a disability.	
Why was the action refused?	(Student) does not meet the eligibility criteria as a child with a disability as defined by IDEA or ECEA.	
List the evaluation procedures, tests, records, and reports the team used to make the determination.	May include: Formal/informal assessments Classroom observations Parent/teacher/student interviews Review of educational records Review of outside evaluation(s) Review of Medical records Review of academic records Progress of interventions Review of discipline data Review of attendance data Etc.	
List other options the team considered.	May include: Additional Evaluations Section 504	
Why did the team reject the options considered? Other factors considered	List any additional factors that the team discussed when making the decision.	



IEP Process and Forms

_



	prior to eligibility meeting and before evaluations begin.	
Prior Written Notice	All IEPs must have a PWN (special requests and Exit IEPs also). They are not signed but provided to parents at the end of every IEP meeting as the final legal notice of services.	
	The PWN summarizes the decisions made during the IEP meeting regarding eligibility, services considered and provided, placement and rationale, and anything that was rejected with reasons for rejection.	
	It should also discuss any other factors the team discussed in making their decision.	
Determination of Eligibility	Eligibility Determination form is completed at initials and triennial evaluations for each suspected disability.	SLD eligibility must be initialed by each team member indicating whether they agree or disagree with the decision.
Prior Written Notice and Consent for Initial Special Education Placement and Related Services	Must be completed and signed by the parent following the eligibility meeting prior to the development of the IEP and service provision.	
IEP	Completed within 90 days (30 days of eligibility meeting) of Permission to Evaluate.	
	Completed annually (within 365 days of previous IEP).	



IEP Development

Components	Details	Additional Comments/Notes
Demographic Info	ormation	Comments/Notes
Student Information	Student name DOB Student State ID Date of Meeting (must match the date on the Notice of Meeting) School	
Type of Meeting	Eligibility	Check appropriate boxes:
	IEP	Check appropriate boxes: Initial IEP IEP Review (generally an annual but may be reviewed as needed) Amendment to IEP Other Student did not qualify
Dates of	Initial Consent for Services	date signed
Meetings	Next eligibility meeting date	within three years from previous or current eligibility meeting
	Date of next IEP Review	within 365 days from this meeting
	Date of initial consent for evaluation	g
	Date initial evaluation was completed	
	Date of initial eligibility determination	
Student Family Information – complete status for before and	District of Residence	School district the student would attend if not enrolled in charter school.
after meeting	Home School	School the student would attend if not enrolled in charter school.
	District of Attendance	Colorado Charter School Institute
	School of Attendance	



		the school the student
	Unit/facility of Attendance	attends.
	Primary Disability	N/A
	Secondary Disability	
	Primary Educational Environment	If any, be sure to complete eligibility form.
	Grade, Age, Gender	Percentage of time student participates in general education
	Ethnicity	
	Race	
	Primary Language Spoken in the Home	
	Student's Primary Language Limited English Proficiency	
		If yes, provide Access Scores
Family Information	Surrogate Parent?	Y/N
	Is an interpreter needed at meeting?	Y/N
	Parent Contact Information	
	Procedural Safeguards	Parents must sign and date receipt of Procedural Safeguards
	Signature of Participants	 Including student if over 15 years of age. Must match Notice of Meeting Upload signatures into the IEP system
	Academic Achievement and Function	nal Performance
Student Strengths,	Must include: • Educational strengths,	
Preferences,	 Functional/developmental 	
Interests	strengths, areas of interest,	
	 Significant personal attributes and accomplishments, and 	
	 Feedback from student. 	
	Educational history and referral	
Educational Performance	information	You may copy and paste previous assessment data



	Summary and analysis of current and former (initial or triennial) assessment data List of previous goals and progress toward those goals. Comprehensive coverage of: present levels gathered from academic areas, record review, current assessment data, teacher reports, attendance data, behavior reports, and observations. 	from most recent evaluation report (Initial or Triennial) MTSS/RTI progress monitoring; or Previous IEP Include: Academic, Social, Behavior, Vision screening data, Hearing screening data, Health concerns/medications Current instructional levels, Interventions used, and Specific skills student is working on.
Transition (if student is 15 or older)	Transition assessments Describe the student's scores and results on the transition assessments.	Identify: Names/types of assessments. Name of professional conducting assessments. Date of assessment Assessments should include information about: Post -secondary education, Career, and Independent living skills
Student Needs and impact of Disability	Explain disability and the impact the disability has on the student's progress in the general education curriculum. Identify all needs of the student and discuss how they impact the students learning.	 Describe the disability. Describe the areas of education the disability impacts If student is transition age, describe how the disability may affect attainment of transition goals.



		Needs are based on data identified in Present Levels of Educational Performance.
Parent/Student Input	Provide input from the parent and the student.	This area cannot be blank.
Consideration of		
Special Factors	Review and consider each factor. If any factors are identified, provide the information needed and develop	Behavior Interventions Plans are developed following a Functional Behavior Assessment.
	appropriate plan(s) if indicated. Ensure that all staff who work with the student have access to any specialized plans.	Communication plans are developed by the teacher of the hearing impaired if the student is identified as deaf /hard of hearing. Learning Media and Mobility
		plans are developed by the teacher of the visually impaired if the student is blind or visually impaired. Learning Media and Communication plans are developed by the teacher of
		the hearing impaired and teacher of the visually impaired if the student is deaf/Blind If the student requires an assistive technology device,
		identify device needed. Health care plans are developed by the school nurse. Indicate location of plan.
		Students with limited English proficiency should have a statement as to how this will be addressed. Special Transportation must
		be specified.



Postsecondary T	ransition Plan	
Complete for students who are 15 years old or prior to the completion of the ninth-grade year.	Projected date of graduation/program completion.	Insert the date the student is anticipated to graduate or complete their program. Some students may receive services until they are 21 years of age.
Measurable	Type of completion document.	 Diploma Certificate of Completion Graduate Record Exam (GRE)
Postschool goals	Update Annually	
	Based upon current age-appropriate transition assessments	
	Use statements such as: Student will"	
	All three types of goals must be addressed: Education or Training Goal	Education or training the student will need upon completion of high school. • "Student will complete a 4-year college program and graduate with a diploma in" • "Student will complete technical school to receive a certificate in"
	Employment Goal	Career the student will have upon completion of education. • "Student will be a"
	Independent Living Goal	Complete if the student will need support in this area. • "Student will live in a group home following completion of high school." • "Student will live in a dorm room at college."



B		le
Planned Course of Study	Address for each area. Multi-year from current year to exit.	Education List all educational courses for each academic year to completion/graduation, including classes that will specifically address areas to assist the student in getting into a training program/college in the area they wish to pursue. Career List all classes needed for graduation/completion of high school for each academic year, including classes that are in the areas of career choice. Independent Living List all classes needed for graduation/completion of high school by academic year, including classes that will assist the student in living as independently as possible.
Transition Services and Activities	Completed for each area. Activities the adults in the school and community that will assist the child in making progress toward their annual and postsecondary goals. Must be specific and individualized based and state what the adults will provide.	"The High school counselor will meet withto ensure that he has the appropriate course of study to complete high school and meet acceptance requirements for a 4-year college." "The special education teacher will assist the student in completing an application totrade school." Career – examples: "The high school counselor will explore employment



		opportunities in the area of with student." • "The special education teacher will work with the student in completing job applications in the field of" Independent Living-examples • "The math teacher will teach the student how to manage a checkbook." • "Student's parents will take the student to the bank and assist him with opening a bank account."
Agency Linkages	List any agencies that will provide support to the student during this IEP year. Written parental consent must be provided by the parents for an agency to attend the meeting.	Agencies that might be considered: • School to Work Alliance Program (SWAP) • Department of Vocational Rehabilitation • Housing Assistance • Mental Health • Social Security Administration If no agencies are needed for support at this time, state, "None."
Transfer of Rights	If the student will turn 20 during the current IEP year indicate that student and parent have been informed of the transfer of rights at 21.	
Annual Goals and Objectives	Identify how and when progress will be reported.	Must be at least as often as progress is reported on all students (usually when report cards are sent out)
	Align goals to all areas identified as a need in present levels and Impact of Needs	



	 Behavior needs – behavior goal Academic needs in math – math goal Academic needs in reading – reading goal Adaptive behavior needs – behavior goal Social/emotional need – SEL goal Executive functioning need – EF goal Communication need – speech/communication goal Motor need – OT or PT goal 	
	 Must be: Measurable (percentage, quantity, or fluency specification) Time bound (date when goal is expected to be achieved, usually one year from IEP date) Evaluation method identified (monitor and chart progress, focused assessments, portfolio collection, etc.) Unit of measurement specified (percentage, number of problems completed correctly, etc.) 	
	Current grade level standard identified for each goal. Identify if any goals are included in Extended School Year, if the student is identified as a student in need of ESY. If goals are written for Extended	
Accommodations	Evidence Outcomes (students with a significant intellectual disability), objectives must be identified with timelines for each goal. Classroom accommodations:	
and Modifications	Identify any accommodations necessary for the student to access the general education curriculum based upon the student's identified needs.	Do not put N/A.



	If no accommodations are	
	 If no accommodations are needed, state, "None needed." Identify any accommodations necessary for the student to participate in classroom assessments. Identify any modifications needed for grade level curriculum (generally identified for students with a significant intellectual disability who will be taught using the Extended Evidence Outcomes. 	
	State and District Assessment Accommodations: Identify all state and district assessments in which the student will participate Identify if the student will participate in the alternative assessments (if student has a significant intellectual disability) Provide documentation. Identify all accommodations necessary for the student to participate in the district and state assessments If no accommodations are necessary, state that none are needed.	Do not put N/A.
Extended School Year (ESY)	 Annual data review is conducted on student to determine eligibility for ESY. Data is collected on each goal throughout the school year and before and after major breaks to be used for consideration of ESY. Team reviews the data for regression and recoupment of learned skills. Team reviews predictive factors and provides information regarding their impact. Team determines if the student qualifies for ESY. 	



	Team completes the worksheet if ESY is indicated.	
Service Delivery Statement	Identify types of services to be delivered	
	Define the position of the provider for each service.	Must be CDE licensed to provide special education services in identified area.
	Identify where services will be delivered	Inside or outside the general education classroom.
	Will the services be direct or indirect?	Direct services are services provided directly to the student
		Indirect Services are services provided on behalf of the student either to support the classroom teacher or for paperwork involving the student.
	How will services be provided?	
	Amount of time student will receive services.	Number of hours per day, week, or month.
	Total amount of time services is provided. Indicate whether the student will participate with his general education peers in non-academic and extracurricular activities.	Identify if the student needs any supports or modifications to participate with his/her peers.
Special Education and Related Services in the Least Restrictive Environment	Discuss and report on service considerations Indicate which placement option is selected	Discuss and report on at least two.



Educational Environment	Summarize discussion on the advantages and disadvantages of the selected placement along with modifications/supplementary aids and services to reduce the disadvantage to the student. Select based upon the percentage of time the student spends in general education	
Prior Written Notice	 Must address the seven elements: A description of the action proposed by the LEA An explanation of why the LEA proposes the action A description of any other options the IEP team considered and the reasons for the rejection of those options. A description of each evaluation procedure, assessment, record or report the team used as a basis for the action. A description of any other factors that are relevant to the team's proposal. A statement that the parent(s) of the student have received a copy of their Procedural Safeguards. Sources for the parent to contact to obtain assistance in understanding the provisions of the notice. 	Some of this information may be included in the body of the IEP. No area should be noted with a N/A.